



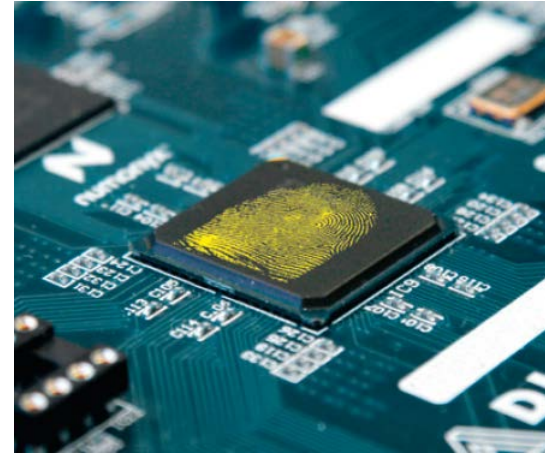
# SPARKS Smart Grids Week Stakeholder Workshop

Smart meter (gateway) authentication  
and key management using hardware  
PUFs

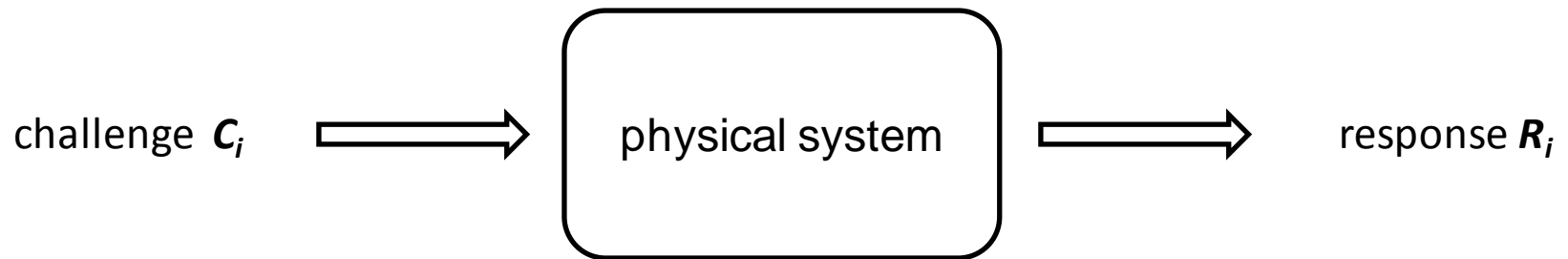


# Physical structures are unique

- every physical object is unique, has a specific “fingerprint”
- fingerprint enables **identification**
- challenge-response-behaviour of physical structure enables **authentication**
- keeping the fingerprint secret enables **cryptographic key generation**
- however, measuring physical properties inevitably involves noise

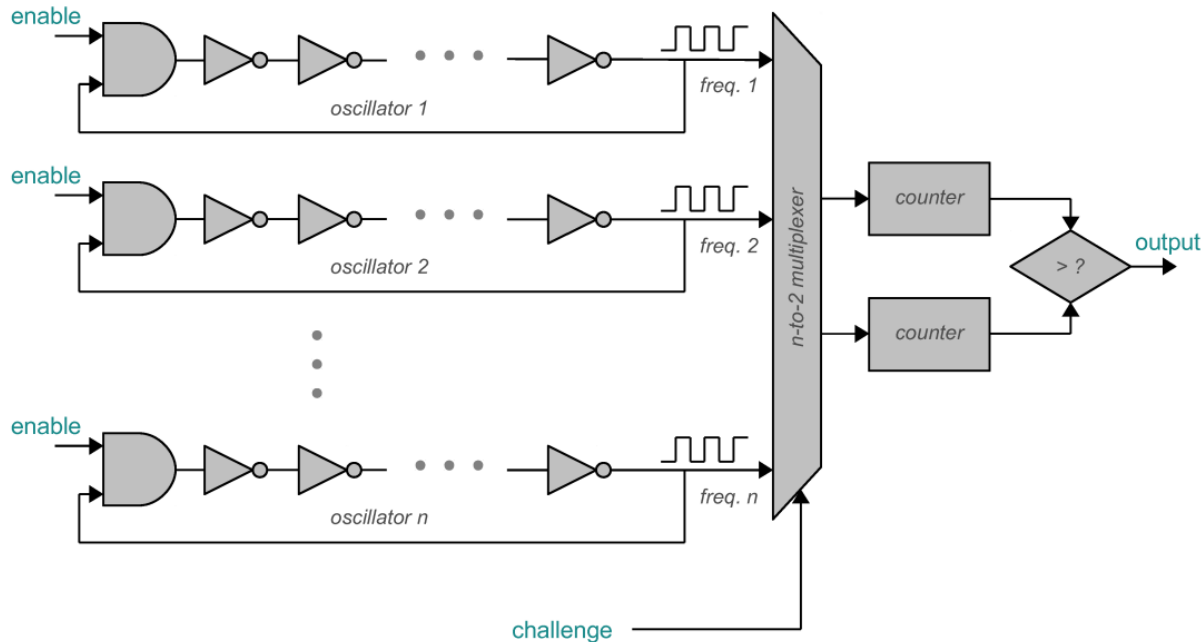


# What is a PUF?



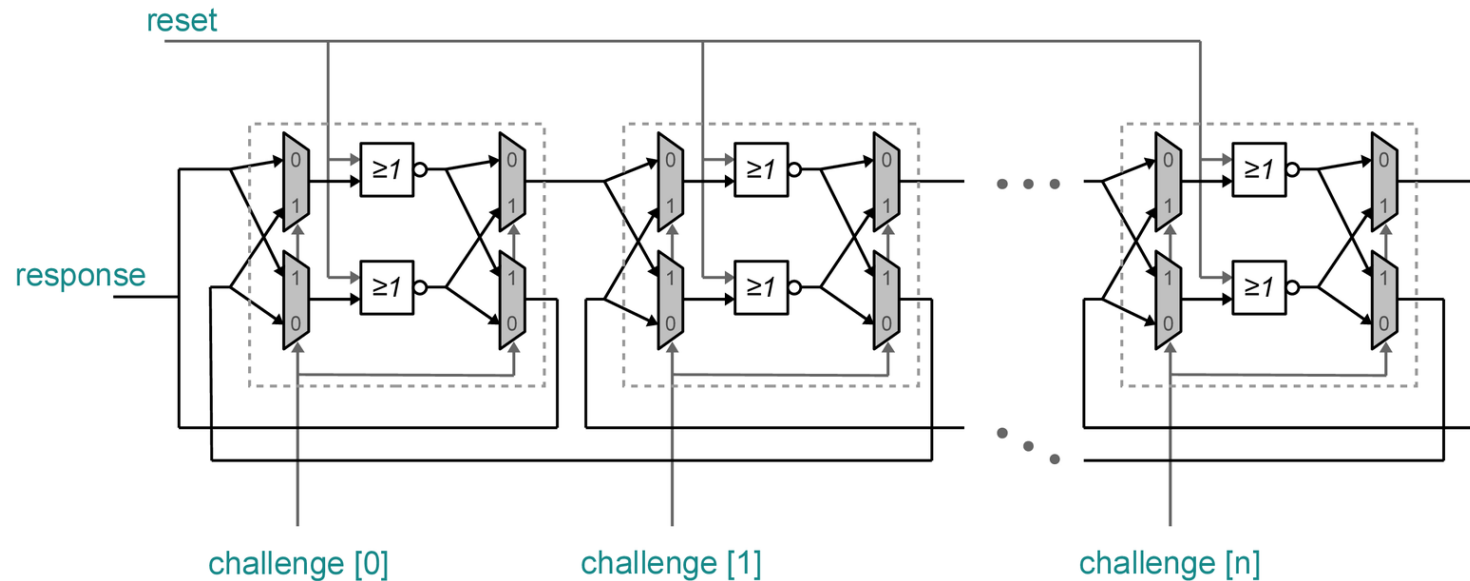
- Physical Unclonable Function (PUF)
  - physical information storage system
  - challenges (addresses) lead to responses (data), similar to a memory
  - physical properties influence challenge-response mapping

# Ring Oscillator PUF (RO PUF)



- unique ring oscillator frequencies
- one of the most non-biased PUF statistics
- implementable in CMOS chips and FPGAs

# Twisted Bistable Ring



- unique oscillation/settling behavior
- more complex and resource efficient than RO PUF
- implementable in CMOS chips and FPGAs

# PUF Applications Possibilities (1)

- Cryptographic key generation
  - noisy fingerprint can be used as device-specific bit string
  - helper data algorithms allow for reliable key generation
- Advantages
  - CMOS devices can extract secret keys without on-chip NVM
  - no binary key present in powered-off state
  - higher resilience against optical inspection compared to fuses and ROM
- Disadvantage
  - off-chip helper data storage and enrolment phase required



# PUF Applications Possibilities (2)

- Authentication by external challenges
  - challenge-response behavior of a PUF is exploited
  - verifier authenticates PUF with precollected challenge-response pairs
  - requires a sufficiently complex PUF
  
- Advantages
  - Challenge-response authentication without binary key on device
  - Maybe even possible in pure CMOS devices, without NVM

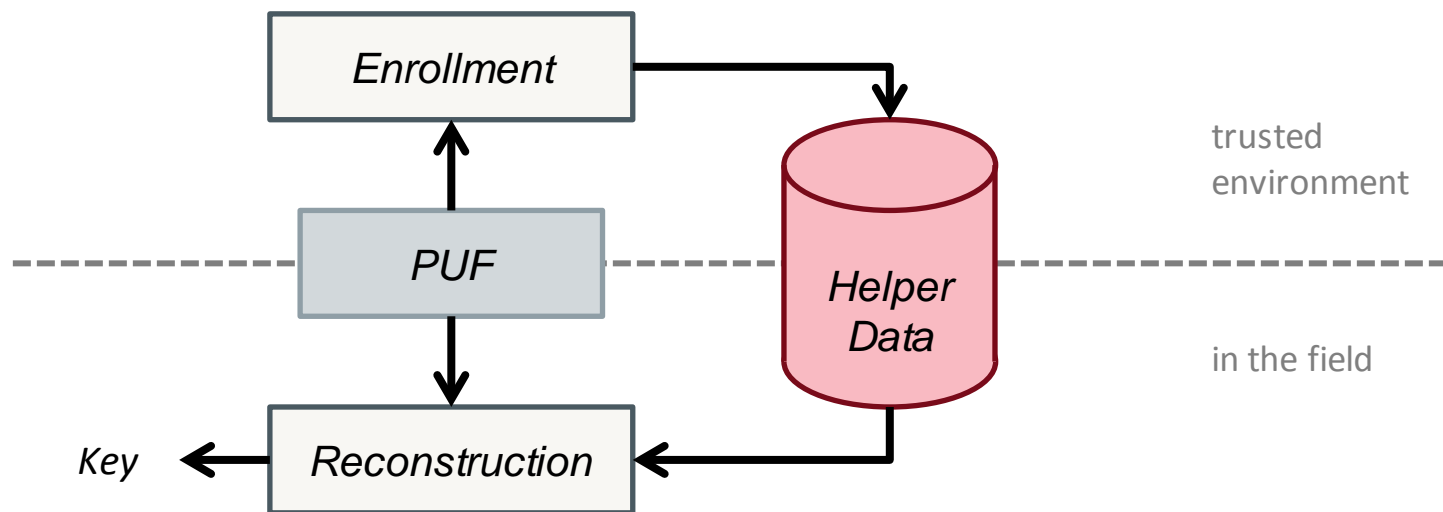
# PUF Applications Possibilities (3)

- Authentication by external challenges and public models
  - Challenge-response behavior of a PUF is exploited
  - Verifier authenticates PUF responses with public simulation model
  - Security relies on PUF providing the response always faster than model
  - Time difference not proven yet
- Advantages
  - Device authentication without any secret information in device
  - Technology barrier hinders physical cloning



# Key Generation Basics

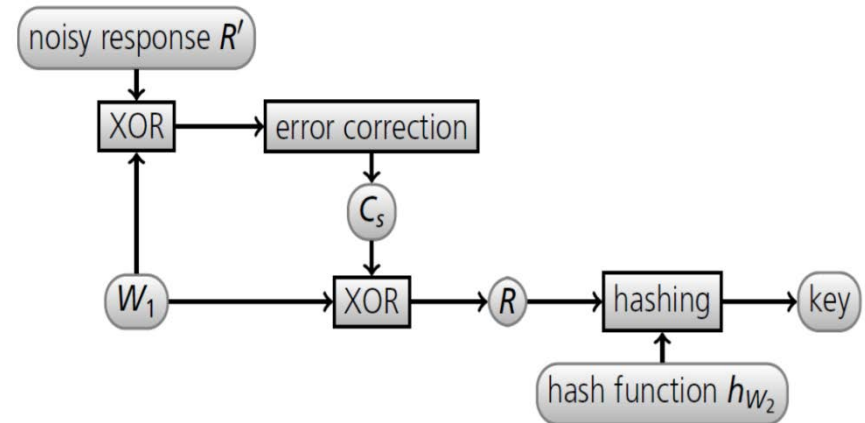
- Two phases required
  - Enrollment: key is defined and helper data (HD) is generated
  - Reconstruction: key is recovered from noisy PUF response bits



# Code-Offset Fuzzy Extractor

## ■ Enrollment

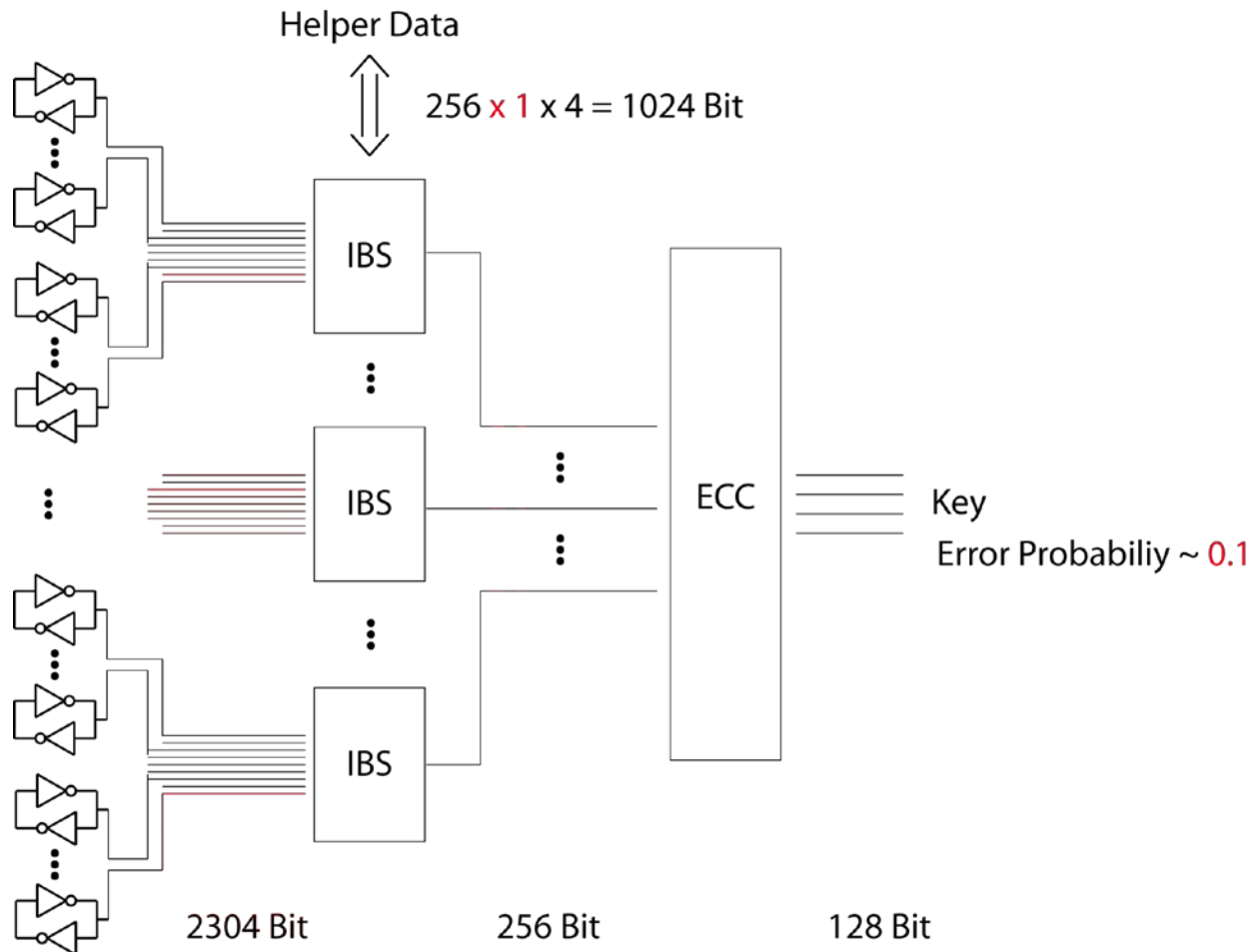
- HD: XOR of PUF response bits and random codeword
- Key extracted from PUF bits by extractor algorithm, e.g. Toeplitz hash



## ■ Reconstruction

- XOR of HD and noisy PUF response bits yields noisy codeword
- Codeword can be corrected by error-correcting code
- XOR of corrected codeword and HD yields original PUF response bits
- Key can be extracted again by extractor algorithm

# Complementary Index-Based Syndrome Coding (C-IBS)



# Smart Meter Security

- exposed to physical attacks
  - at the premise of end-users
  - interest in manipulation
- interesting target
  - financial damage
  - entry point to the smart grid infrastructure
- PUFs have a high level of protection against physical attacks
  - not explicitly stored
  - opening the PUF destroys the information

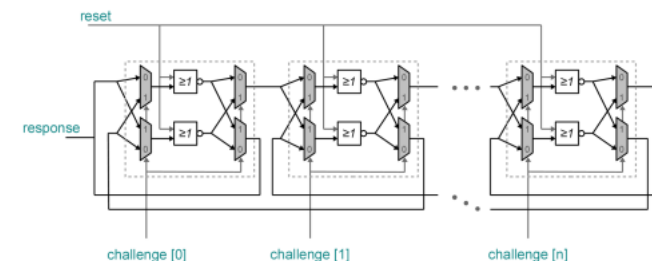


# SPARKS mini-project

- Smart meter and gateway authentication and key management using hardware PUFs
  - novel smart meter authentication and key management based on PUFs
  - establish a smart meter hardware reference architecture
  - investigation into existing hardware PUF designs
  - selection of a PUF design for this mini-project
    - smart meter architecture
    - environmental conditions
    - lightweight PUF
  - integrated the PUF into a prototype smart meter using FPGA devices
  - develop a key generation facility based on the on-board PUF
  - lightweight authentication protocol
  - validate the smart meter PUF in a lab based test network
  - prepare an enhanced smart meter bill of materials, and an authentication/key management deployment architecture

# Smart Meters with PUF

- provides high level of security
- technologically and scientifically challenging
- in the long run: no extra hardware needed (costs!)
- smart meters are not only a good candidate but also a good showcase for PUF technologies



# Questions



How are your smart meters connected to the business infrastructure? (public/private network, protocols, server side)



By which means is authenticity and integrity of the smart meter data ensured?



Where else could you imagine PUF technologies can be used a key generation and authentication facility?



What would be the requirements to use smart meters with PUFs in your infrastructure?



# Thank you for your attention



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